# Data Provenance Tracking for Biomedical Virtual Research Environments

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## **Contents**

- VREs for biomedical research
- Traceability of data & Provenance
- CRISTAL as a Provenance base
- Analysis services in NeuGRID & N4U
- The N4U Virtual Lab / Research Environment
- Conclusions & Questions





# **Biomedical Data Traceability**

- To share data-sets & analyses.
- To enable collaborative research.



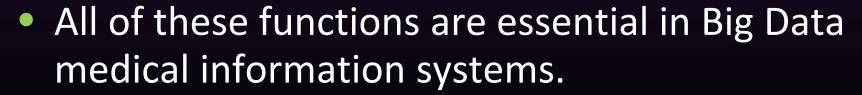
- To reproduce results / tests.
- To understand a process(es) followed
- To verify the work of others.





# **Need for Biomedical traceability**

- Traceability provides information for
  - Explanation of source and usage of data and processes
  - The evolution of those processes
  - The verification of activities
  - Reproducibility of actions
  - Security and access control
  - Source of system failures
  - Developers to debug programs

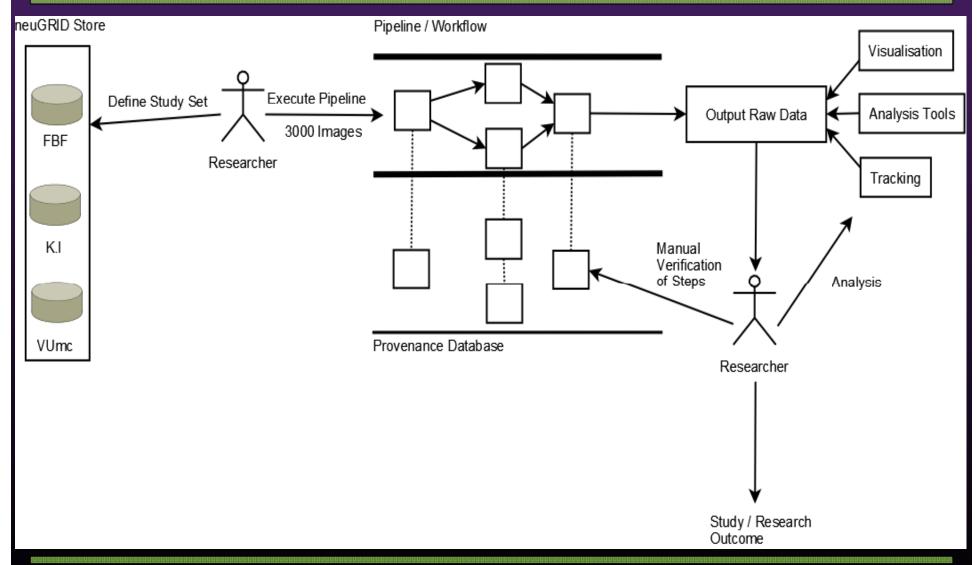








# **Example from Neuroimaging**





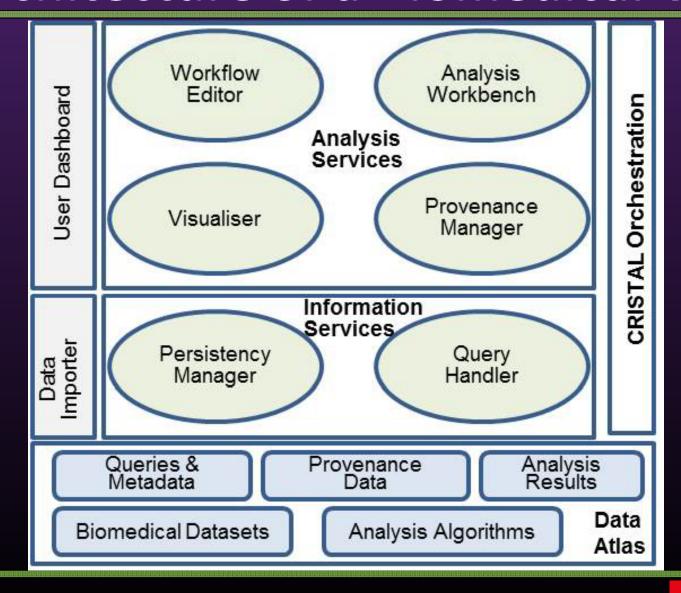
## **Virtual Research Environments**

- Platforms to enable researchers to share data and results
- Provide data governance and traceability
- Provide tools for browsing data and algorithms and to construct research analyses
- Visualise outcomes of analyses and reproduce results.
- Can facilitate simulation, workflow management, document hosting and collaboration support across groups/teams of researchers





# **Architecture of a Biomedical VRE**







## The role of a Biomedical VRE

- Data gathering, data fusion and homogenisation of existing and newly created datasets.
- Database and meta-data management.
- Dataset and algorithm discovery and tracking.
- Research result verification and authentication.
- Orchestration of 'standard' procedures.
- Linkeage with external analysis packages.
- Usage patterns and behavioural studies.
- Standardisation of data access and visualisation.





# **Provenance and Analyses: 7 W's**

- who ran an analysis (username, role, iden),
- for what purpose, what their analysis was supposed to achieve, and what were its outcomes/results
- when they ran it (a timestamp which denotes when it started and when it finished),
- where it was run this is GRID / Cloud related information,
- Which datasets and algorithms were used to create and run their analyses (e.g. Image set and pipeline),
- how it was executed, this is more detailed infrastructure information
- and lastly Why the analysis was run, this is a justification from the user, potentially with annotation.

IN ESSENCE A FULL RECORD OF THE ANALYSIS ACTIVITIES





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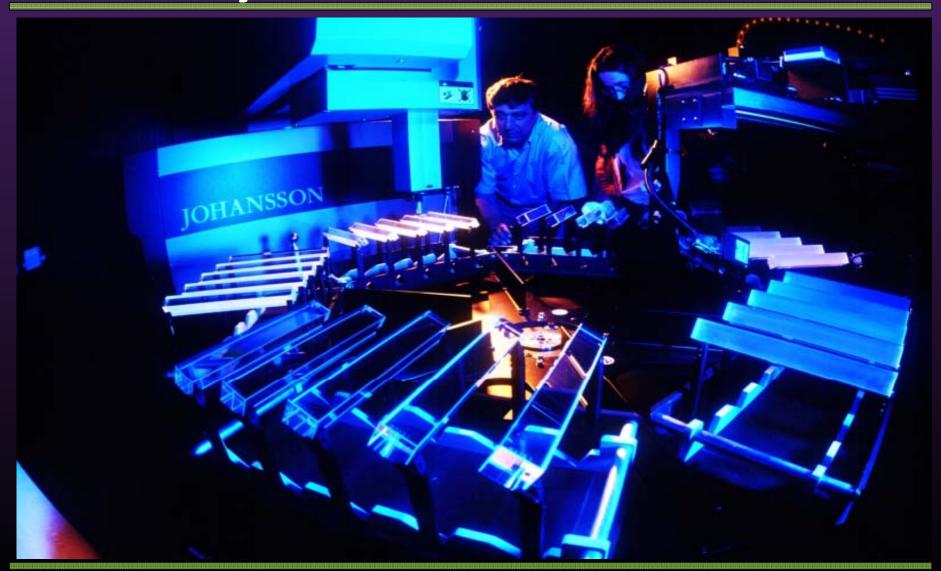
## What is CRISTAL?

- A long-running research project (1997- 2012) between UWE, CERN and CNRS (France).
- That has developed data models and software using state-of-the-art technologies.
- To address the data management, workflow and process control needs of a distributed community of detector physicists (CMS Ecal in this case). In essence a VRE.
- Whose requirements were initially vague, long-term, evolving and demanding (cost/size/response).
- Which has yielded academic output and software that is being commercially exploited from 2005-2014
- And has been launched Open Source (LGPL3) in 2015





# **Crystal Characterisation**

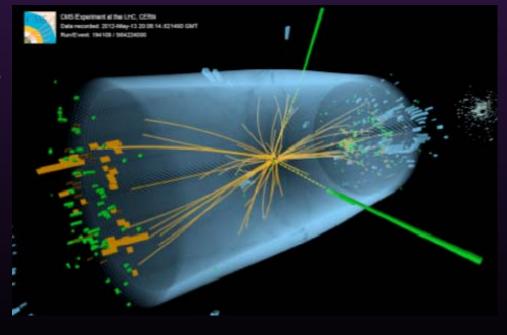






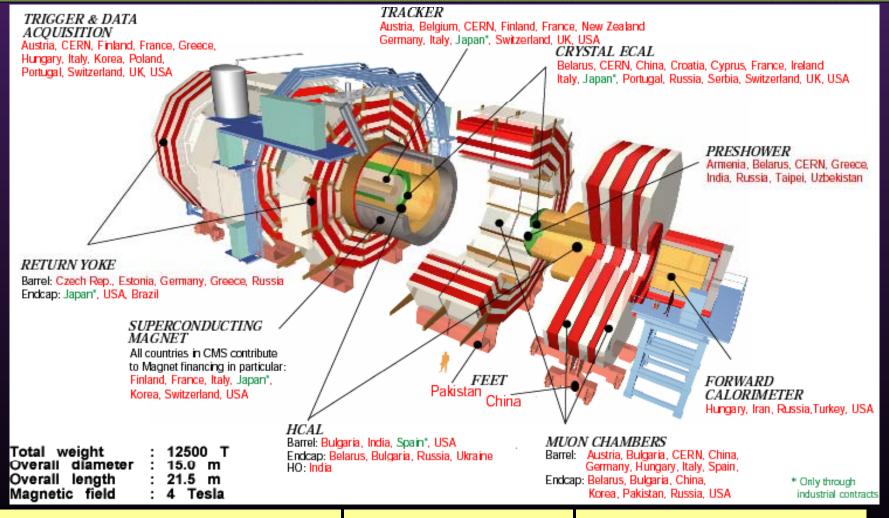
## CRISTAL, born at CERN

- Software for recording how an object goes through its lifecycle (parts, workflows/processes, agents etc.)
- Enables traceability and provenance management
- Can be used for analysis and data tracking
- Commercial use
  - Agilium, Technoledge
- Academic/Research
  - CERN,
  - N4U, NeuGrid
  - CRISTAL-ISE





# **CMS: Physics at LHC**



2008 scientists and engineers

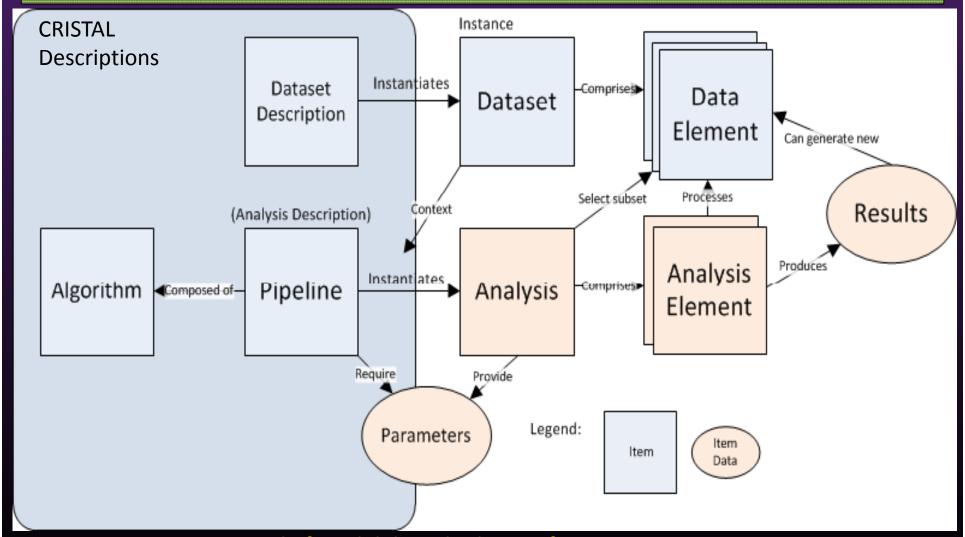
160 institutes

36 countries





## **Provenance in CRISTAL Model**



**Detail of model described in conference paper** 





# **CRISTAL Features in Summary**

- CRISTAL is a framework for collecting data by defining traceable lifecycles.
- Each step of a lifecycle defines a change of state when a piece of data is collected.
- It captures items and their descriptions + metadata.
- Lifecycle definitions are data too, called 'Descriptions' ->
   Description Driven System (DDS). They are also stored as items.
- CRISTAL provides a dynamically alterable data model which copes with design-to-production change.
- It's a product and data management system that organises processes allowing the evolution of processes and domain models in a fully traceable manner.





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## neuGRID for Users (N4U): Services 4 Users

- EU Framework 7 Integrated Infrastructure Initiative, I3
- Started July 2011, 42 months, funded at €3.5M
- To provide: an e-Science environment by developing and deploying the neuGRID infrastructure to deliver a Virtual Laboratory to offer neuroscientists access to a wide range of datasets, algorithm applications, and access to computational resources, services, and support

#### • Partners:

- IRCCS Fatebenefratelli, Italy; , University of West of England, Bristol, UK;, Maat G Knowledge Spain;
- Hospital University of Geneva, Swizerland. VUmc Vrije Universitet Medical Center, Amsterdam, NL
- Karolinska Institutet, Stockholm, Sweden;, CNRS, France, CEA, France;
- CF consulting, Milano, Italy, MNI Montreal, Canada, UCLA, USA





## **NeuGRID** and N4U

#### neuGRID









neuGRID for Users, N4U

# User

- Cortical thickness pipeline
- Core databasing
- Web portal
- LONI WMS

 Wider multimodal software portfolio for researchers and diagnostic neuroscientific communities

- Advanced Data Base Management system
  - More representative datasets
    - Data protection extension
      - Educational programs

### GRID ervices

- Security Services
- Medical Quering Services
- Provenance Services (CRISTAL)
- Grid Gluing abstraction Services

• Knowledge management

- Analysis services
- Workflow authoring extension
- Advanced querying extension

# nfrastructure services

- Enactment Services
- Computing Services
- Storage Services

Computational resources expansion
 Cloud compatibility development





# **CRISTAL Background**

- Developed at CERN in early 2000s.
- Used for the tracking of the CMS ECAL Detector construction at the Large Hadron Collider (LHC).
- The characteristics & identity of ECAL components were gathered as structured, queryable data for decision support, quality control & calibration.
- Is provenance enabled by design.
- Used in industry (BPM, Data Processing, R&D prototyping and production).
- Recently launched Open Source under LGPL3.





# **CRISTAL for Medical Analyses**

- CRISTAL s the lifecycles of medical items of importance during the execution of analyses.
- Items can be data, activities, user roles etc. in medical analyses e.g. 3-D MRI imaging.
- Developed for analysis support in the NeuGRID EC FP7 project and its follow-on N4U.
- Used to track the production and the running of medical neuroimaging analyses on the GRID.
- Provides coordination, orchestration and tracking of the complete analysis lifecycle.



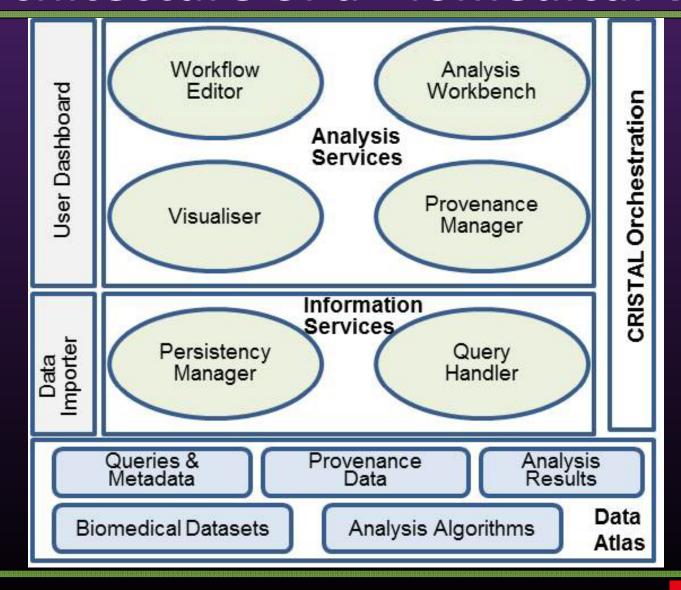


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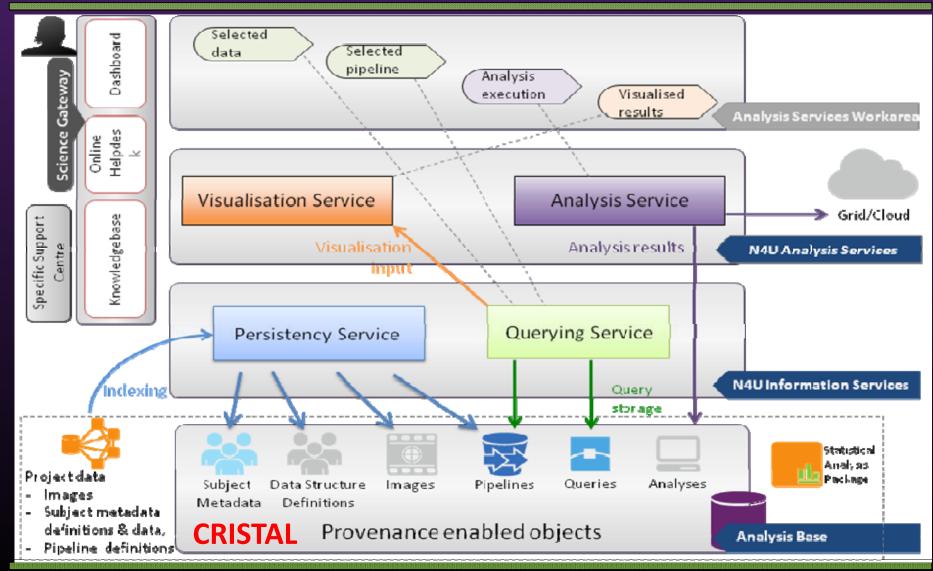
# **Architecture of a Biomedical VRE**







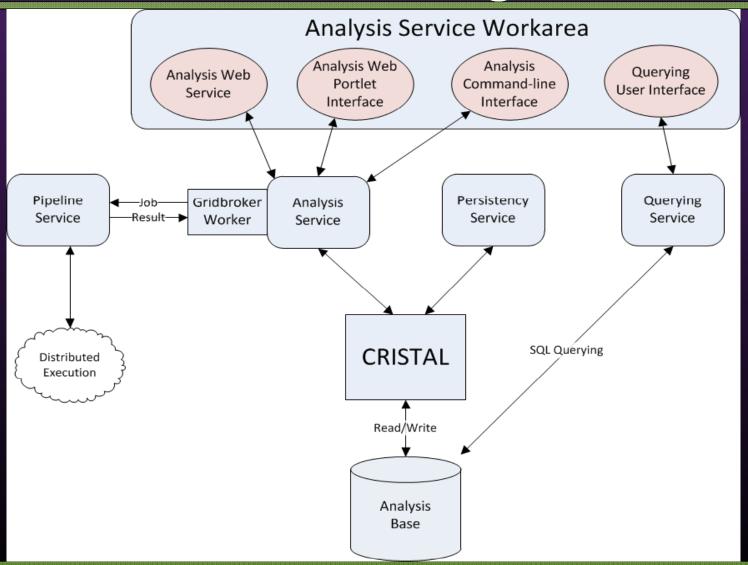
# **N4U Virtual Laboratory**







# **CRISTAL for Tracking in a VRE**





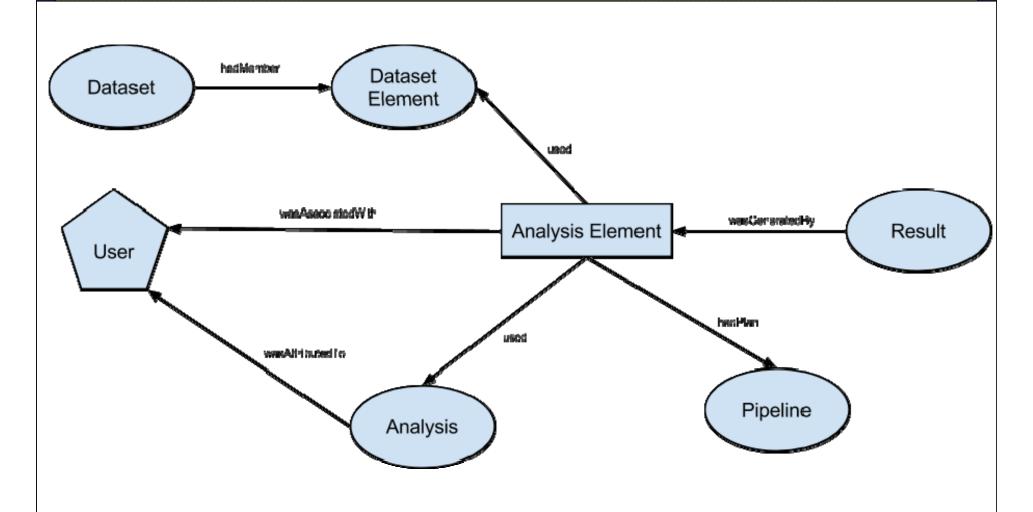
## **N4U Outcomes**

- Datasets logged in N4U include: OASIS CrossSectional, OASIS Longitudinal, MIRIAD, FBIRN Phase I, FBRIN Phase II, EDSD, MAGNIMS, NUSDAST, ADNI 1, ADNI 2, ADNI GO, etc.
- Over 200K image files from 19 datasets and 39 assessments.
- With over 10 million associated clinical variables data.
- All N4U analysis use-cases demonstrated from end-to-end including dataset and pipeline selection, 'My Analysis' definition, job submission to Grid/Cloud and provenance data collection, indexing and logging.
- Software has been used collaboratively by clinical researchers at HUG (Geneva), Karolinska (Stockholm), FBF (Brescia) and Vumc (Amsterdam) since 2014.
- All N4U Analysis Base and Analysis Service software now being exploited by the CEREBRO start-up in Geneva





# **CRISTAL Mapping to PROV**







## Conclusions

- Meta-data is key for analysis tracking.
- Provenance data is needed for accurate tracking.
- Description-driven software can facilitate (biomedical) analysis tracking and information sharing over time. Ideal for the basis of a VRE.
- CRISTAL software is available Open Source and is generically applicable across bio-medical domains.
- Virtual Research Environments the way forward for collaborative data and analysis tracking.





# **Future directions**

## Research

- Provenance
  - Export to standard interoperability format (PROV).
  - Map onto provenance data from other systems.
- Instantiate descriptions from external modelling tools
- Semantics
  - Enhance provenance capture with semantics.
  - Enable knowledge creation from collected provenance.
  - Determine behaviour / usage patterns in analyses.
- Exploitation
  - Startup company CEREBRO created in Geneva for neuroscience
  - CRISTAL software launched Open Source Q3 2014







# **N4U Analysis Base**

## Objectives :-

- To develop the 'data atlas' to index all external data and pipeline definitions, with their associated provenance as required by the end-user community
- query/persistency services on top of this data atlas to enable users to access sets of data and images resident in the system infrastructure, as defined by the N4U user requirements.
- To work with each external data provider in defining what they need to export into the data atlas in order to fulfil the N4U user requirements.
- To provide an enhanced OPM-compliant provenance management service to enable users to capture dataset definitions, pipeline execution outcomes, information and knowledge derived from individuals' analyses.





# **N4U Analysis Services**

## **Objectives:-**

- To provide a customisable environment in which users can conduct specific neuroscience analyses using the Provenance & Persistency Services (the WP9 Information Services) in the neuGRID infrastructure
- To ensure that the underlying complexities of the neuGRID infrastructure and middleware services are hidden from the user but access is provided to their functionality by interfacing with underlying APIs
- To enable access to the N4U Science Gateway services through personalised user interfaces, configured according to the role and access rights of users.





# PROV – Top Level

