

Anomaly Detection for Predictive Maintenance in Data Centers Using Autoencoders

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Who am I?

- ▶ Phd Student in Physics at University of Bologna, Italy;
- ▶ Focused on Software and Computing:
 - ▶ Application of Artificial Neural Networks for HEP
 - ▶ Optimization of ANNs for low-latency applications;
- ▶ Recently started a Post-Doc position at CNAF:
 - ▶ Computing division of the Italian Institute for Nuclear Physics (INFN)

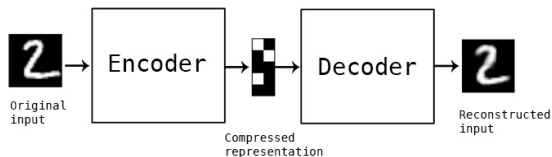


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Autoencoders

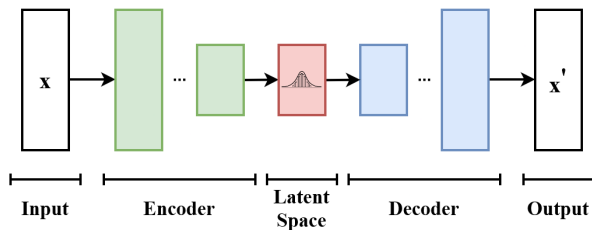
- ▶ Autoencoder (AE): a NN that is trained to attempt to copy its input to its output;
- ▶ Made up of two parts: an Encoder and a Decoder that produces a reconstruction of the input.



- ▶ Designed to be unable to learn to copy perfectly but only approximately, prioritizing useful properties of the data;
 - ▶ Usually done by constraining the latent space to have a smaller dimension than the input (Undercomplete);
- ▶ Widely used for dimensionality reduction, feature learning and generative models.

Making them Variational

- ▶ AE that aims to learn a **compact, continuous** latent representation of data;



- ▶ **Goal:** learn encoder and decoder parameters such that new samples can be generated from the learned latent space through the decoder;
- ▶ VAE uses a probabilistic encoder that maps the input to a probability distribution over the latent space.

AI Masterclass

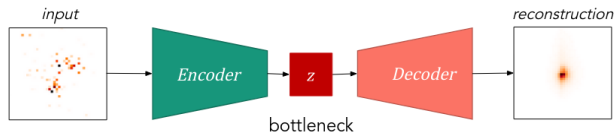
- ▶ This is just a niche example of the vast zoo of AI models;
- ▶ During this conference a Masterclass on AI is being held:
 - ▶ Hope you didn't miss it if you want to know what there is behind AI models and how they work!
- ▶ Today: Wednesday 19/03 (or 03/19);
- ▶ From 11:00 to 17:30 (11AM to 5:30PM).
- ▶ Lecturer: Prof. Daniele Bonacorsi (Full Professor @ University of Bologna);
 - ▶ Tutors: Dr. Marco Lorusso, Dr. Luca Giommi and Dr. Simone Gasperini.

Coffee Break
BHSS, Accademia Sinica
AI Master Class - Dive into artificial intelligence by applying machine learning and deep learning techniques to real life datasets! Daniele Bonacorsi
Auditorium, BHSS, Accademia Sinica 11:00 - 12:30
Lunch (4F)
Recreation Hall, 4F, BHSS, Accademia Sinica
AI Master Class - Dive into artificial intelligence by applying machine learning and deep learning techniques to real life datasets! Daniele Bonacorsi
Auditorium, BHSS, Accademia Sinica 14:00 - 15:30
Coffee Break
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Auditorium, BHSS, Accademia Sinica 16:00 - 17:30

Anomaly Detection with AEs

Auto-Encoders (AEs) are neural network models trained to **reconstruct** their **inputs**:

- ▶ The **encoder compress** the input into a smaller latent space.
- ▶ The **decoder reconstructs** from the compressed representation.



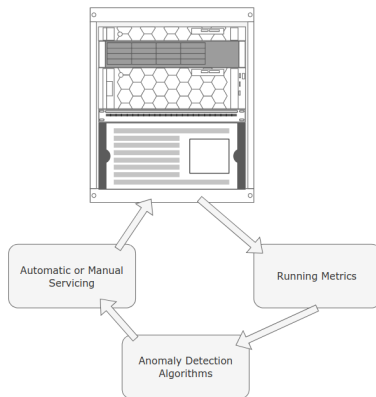
- ▶ Idea: **background** samples are associated to **low** anomaly scores;
- ▶ Anomalies can be either erroneous, rare or interesting events;
- ▶ This approach is **self-supervised**, requiring only background samples in the data;
- ▶ **No assumption** on the type of signal.

AD in HEP

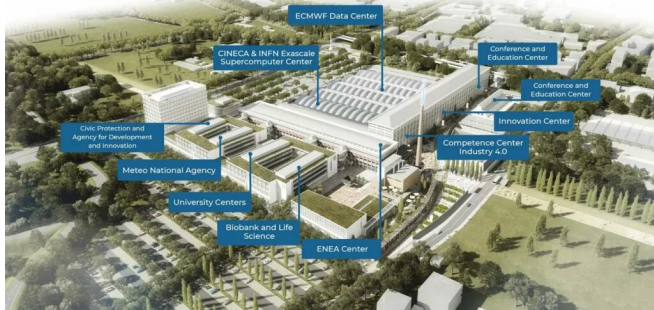
- ▶ **Machine Learning** strongly considered to process the **increased** amount of **data** in next phases of LHC → major focus on **Artificial Neural Networks**;
- ▶ An important candidate for using ML is the research for **Beyond Standard Model** events:
 - Networks like **Autoencoders** are **unbiased** algorithm which can **select** events based on their degree of **abnormality**, **without** theoretical **priors**;
- ▶ Trigger **latency and energy constraints** are quite **unique** → need for **specific software** development and strategies to deploy ANN **efficiently** on the **hardware** available on-site, like **FPGAs**.
- ▶ Need to **optimize** and **compress** these kind of algorithm to make them **suitable** for **trigger** environments.
- ▶ Topic of my PhD thesis and of a talk tomorrow at 2PM.

Moving to Datacenters

- ▶ The idea is to use Autoencoders to perform Anomaly Detection using sensor data from a datacenter:
 - ▶ This could lead to a system able to pick up a malfunction before major or even any disruption of the service
- ▶ Particularly interesting in Bologna after the construction of the Tecnopolo!



Bologna's Tecnopolo



- ▶ It houses Leonardo, the 9th SuperComputer in the top 500 (Nov. 2024), with 241.20 PFlop/s!;
- ▶ But also the new and upgraded CNAF Datacenter.

The Use Case

- ▶ Service under study is WebDAV: it enables to access and manage files remotely via HTTP;
- ▶ To maintain seamless operation:
 - essential to detect anomalies indicating potential service disruptions.

Bucket Name	Data frequency	Retention Policy
<i>one_week</i>	5 minutes	1 week
<i>one_month</i>	15 minutes	1 month
<i>six_month</i>	2 hours	6 months
<i>all_data</i>	3 hours	inf

- ▶ ML to analyze system metrics—such as CPU usage, memory consumption, and network traffic—using a Time Series dataset;
- ▶ Data extracted from an InfluxDB v2 database

Metrics Available

- ▶ General CPU utilization metrics;

cpu.ctxst
cpu.total.system
cpu.total.user
cpu.procs_blocked

cpu.total.nice
cpu.total.idle
cpu.total.iowait
cpu.procs_running

- ▶ IO operations metrics;

iostat.avg-cpu.pct-idle
iostat.avg-cpu.pct-user
interface.eth0.rxBytes

iostat.avg-cpu.pct-system
iostat.avg-cpu.pct-iowait

- ▶ Used/Free/Swap memory metrics;

memory.available
memory.used
memory.cached

memory.buffers
memory.free
memory.used
WOBuffersCache

memory.free
WOBuffersCache

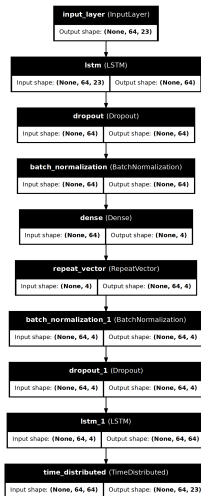
Identifying Anomalies

storm.webdav.TPC. .pull.error-count.count .pull.ok-count.count .pull.throughput-bytes-per-sec.count .pull.throughput-bytes-per-sec.max .pull.throughput-bytes-per-sec.p99	storm.webdav.storm.http. .handler.4xx-responses.m1_rate .handler.5xx-responses.m1_rate .handler.active-dispatches.count .handler.dispatches.m1_rate .thread-pool.size.value .thread-pool.utilization.value	storm.webdav.jvm.threads. .runnable.count.value .blocked.count.value .count.value
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- ▶ Searched for 'None' values in the dataset as Anomalies;
- ▶ Three main families of metrics with holes in the data:
 - ▶ Two dealing with communication with the machines;
 - ▶ One concerning JVM metrics.
- ▶ In the 4 machines studied (*one_month* bucket) these sum up to:
 - ▶ 30 anomalies;
 - ▶ 36 anomalies;
 - ▶ 34 anomalies;
 - ▶ 42 anomalies.

The Neural Network

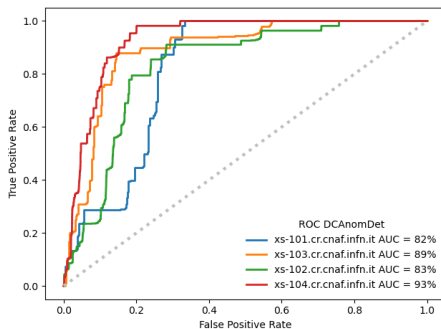
- ▶ To test the idea, an AE was built:
 - ▶ Using Long-Short Term Memory layers to deal with Timeseries;
 - ▶ Dropouts and Normalization Layers to increase generalization;
 - ▶ The Model infers a reconstruction of the input series;
 - ▶ RMSE as Loss function to measure the difference between input and output
- ▶ In total ≈ 42000 trainable parameters.



Anomaly Detection Results

- ▶ Model trained using timeseries w/o anomalies coming from 4 different machines;
- ▶ Test series with both anomalies and normal entries;
- ▶ Acceptable TPR;
- ▶ **But** still too sensible to False positives.

	AUC	TPR @ FPR = 0.2
xs-101	81.8%	0.45
xs-102	83.0%	0.80
xs-103	89.0%	0.88
xs-104	92.9%	0.95



Challenges in Anomaly Detection with ML for Data Centers

▶ Limited Availability of Anomaly Data

- ▶ Data centers already available to the public **should** have few anomalies.

▶ Insufficient Retained Data

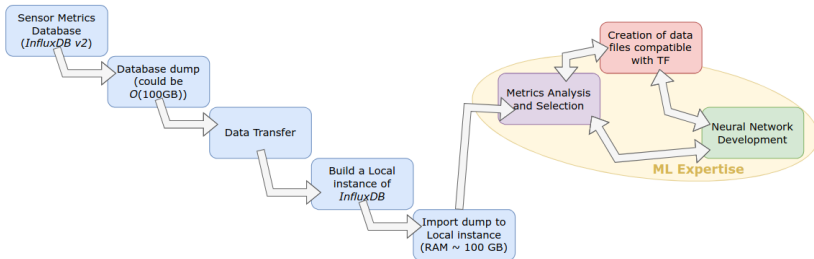
- ▶ With *one_week* or *one_month* retention policy there is not enough data to build a complex model with a good false positive rate (FPR);
- ▶ Still valid for six months of data or more, compounded by the two or more hours timestep that is too sparse to be useful in online applications;

▶ Need for a Realistic Machine Learning Approach

- ▶ Handling denser data could be very challenging but maybe necessary for realistic ML applications;
- ▶ Suggested solution: Create a temporary database for training purposes.

Going from Datacenters to ML development

- ▶ Big computing infrastructures use very complex and useful database management systems for handling sensor data;
- ▶ However making data coming from these systems usable with ML frameworks (e.g. TensorFlow) is not so straightforward.



Conclusions

Key Takeaways:

- ▶ **Autoencoders** provide a powerful tool for **unsupervised** anomaly detection;
- ▶ Can be applied in **High-Energy Physics** (HEP) and **Data Centers** to detect rare or erroneous events;
- ▶ Still a sub-optimal **false positives** rate, due to **data scarcity**.

Future Directions:

- ▶ Bridge the gap between ML developers and dense highly structured data;
- ▶ Develop strategies for handling **denser, high-frequency data** for more intense ML development;
- ▶ Improve model robustness by incorporating additional **feature engineering**;
- ▶ Create more complex and generalized NNs (like VAEs to be able to generate other samples).

Thank you